

### Appendix C: Problem Shipment Scoring Table

Problem ID	Resolvable?	How to resolve?	Maximum Penalty (Not Resolved)	Minimum Penalty (Resolved)
Missing Paperwork/File (section I.A)	Y	Submit missing paperwork- in the case of missing fax or email, must provide proof of missing communication	4	0
Paperwork/File Errors (section I.B)	Y	Correct incorrect data.	4	0
<i>Shipping containers (section II.A)</i>	N	Cannot resolve- but must send new samples if applicable (i.e. for testing labs).	4	2 for new samples w/in 1 week
<i>Specimen Problems (section II.B)</i>	N	Cannot resolve	1	1
Organizational problems (section III.A)	N	Cannot resolve except for partial box explanation (i.e. must be valid reason)	1	1 (code 504 - 0 points if resolved)
Labeling/Derivative problems (section III.B)	Y	Correct incomplete data. In case of inappropriate use of identifiers, there is no resolution and maximum penalty is applied.	4	0
LDMS Barcode Label problems (section III.B)	Y	If a required LDMS barcode label is missing, the resolution is determined by the recipient.	1	1
<b>Miscellaneous problems</b> (section III.C)	N	Cannot resolve	2 (Exception: code 703 = 4 points)	2 (Exception: code 703 = 4 points)
<i>Carrier Issues (section IV.)</i>	N	Cannot resolve error, but must send new samples to testing lab, if possible.	1	0
<i>OTHER (section V.)</i>	?	Will need to determine on an individual basis	4	0

**Note:** all errors in a shipment must be resolved for the minimum penalty to be assessed. Scoring is determined for each shipment, not for each penalty. The shipment score will reflect the maximum penalty associated with the worst infringement. The maximum shipment score is 4 points, the minimum shipment score is 0 points.